



UNITED STATES PATENT AND TRADEMARK OFFICE

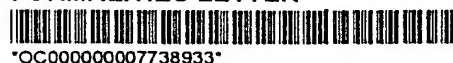
COPY OF PAPERS  
ORIGINALLY FILEDCOMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057,301	03/28/2002	Mark Chasin	207.1300US

23280  
DAVIDSON, DAVIDSON & KAPPEL  
485 SEVENTH AVENUE, 14TH FLOOR  
NEW YORK, NY 10018RECEIVED  
APR 02 2002

CONFIRMATION NO. 3895

FORMALITIES LETTER



\*OC000000007738933\*

DAVIDSON, DAVIDSON &amp; KAPPEL

Date Mailed: 03/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2070.
  - \$1314 for 73 total claims over 20.
  - \$756 for 9 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2940.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/07/2002 WARRHAM1 00000066 10057301

01 FC:101	740.00	OP
02 FC:103	1314.00	OP
03 FC:102	756.00	OP
04 FC:105	130.00	OP

FORM PTO-1083

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231



Receiv  
Docket No.: 2071300 US  
Date: May 24, 2002

**COPY OF PAPERS  
ORIGINALLY FILED**

In re application of: Mark Chasin, et al.  
Serial No.: 10/057,301  
Filed: January 25, 2002  
For: LOCAL ANESTHETIC AND METHOD OF USE

Sir:

Transmitted herewith is a **RESPONSE TO MISSING PARTS** in the above-identified application.

- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.  
☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.  
☐ No fee for additional claims is required.  
☒ A filing fee for additional claims calculated as shown below, is required:

FOR:	(Col. 1)		(Col. 2)		SMALL ENTITY		OR	LARGE ENTITY	
	REMAINING	HIGHEST	PREVIOUSLY	PRESENT	RATE	FEE		RATE	FEE
	AFTER		PAID FOR	EXTRA					
TOTAL CLAIMS	93 Minus 20	=	73		x \$ 9	\$		x \$ 18	\$ 1314.00
INDEP. CLAIMS	12 Minus 3	=	9		x \$ 42	\$		x \$ 84	\$ 756.00
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+	\$140	\$	+	\$280
TOTAL:					\$		OR	TOTAL: \$2,070.00	

- \* If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- ☒ Also transmitted herewith are:  
☐ Petition for extension under 37 C.F.R. 1.136 (in duplicate)  
☒ Other: **REQUEST FOR CORRECTED FILING RECEIPT**
- ☒ Check(s) in the amount of **\$2,940.00** is/are attached to cover:  
☒ Filing fee for additional claims under 37 C.F.R. 1.16  
☐ Petition fee for extension under 37 C.F.R. 1.136  
☒ Other: **- Filing Fee**  
**- Late Filing Fee Surcharge**
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

Robert J. Paradiso, Reg. No. 41,240  
DAVIDSON, DAVIDSON & KAPPEL, LLC  
485 Seventh Avenue, 14<sup>th</sup> Floor  
New York, New York 10018  
Tel: (212) 736-1940  
Fax: (212) 736-2427

I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" in an envelope addressed to "Assistant Commissioner for Patents, Washington, D C 20231" on May 24, 2002

DAVIDSON, DAVIDSON & KAPPEL, LLC

BY

207.1300 US

**UNITED STATES PATENT & TRADEMARK OFFICE**



Re: Application of: Mark Chasin, et al.

Serial No.: 10/057,301

Filed: January 25, 2002

For: **LOCAL ANESTHETIC AND METHOD OF USE**

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ORIGINALLY FILED**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**BOX: MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

May 24, 2002

Sir:

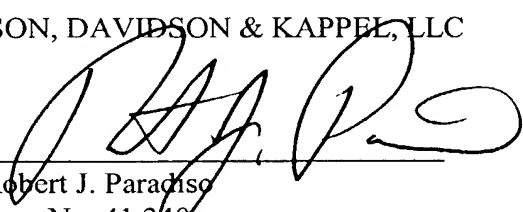
In response to the Notification to file Missing Parts dated March 28, 2002, a copy of which is enclosed, please find a copy of the Declaration and Power of Attorney document (8pages); substitute drawings (57 pages) and a check in the amount of \$ 2,940.00 covering the basic filing fee of \$740.00, the additional claims fee of \$2070.00 and the late filing fee of \$130.00.

If any additional fees are deemed to be due at this time, the Assistant Commissioner is authorized to charge said fees or credit any overpayment to Deposit Account No. 50-0552.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

By

  
Robert J. Paradiso  
Reg. No. 41,240

Davidson, Davidson & Kappel, LLC  
485 Seventh Avenue, 14<sup>th</sup> Floor  
New York, New York 10018  
(212) 736-1940